PAPR Reduction Employing Sub block conversion technique using
Modified SLM Approach

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Abstract – Orthogonal frequency division multiplication (OFDM) is a digital transmission method developed to meet the increasing demand for higher data rates in communications which can be used in both wired and wireless environments. In this system high peak-to-average power ratio (PAPR) is one of the major technical challenges, which brings serious impact on the hardware implementation. In literature, many schemes have been proposed for PAPR reduction, wherein the selective mapping (SLM) scheme is the most popular and widely discussed approach. However, SLM suffers from very high computational complexity because of the requirement of a large number of Inverse Fast Fourier Transformation (IFFT) operations.

A Modified SLM approach based on time domain sub block conversion technique to reduced computational complexity, in this technique frequency domain signal divide multiple sub blocks the number of the valid conversion matrices can be increased, and thus more candidate signals are available for PAPR reduction. By applying our scheme, the number of candidate signals can be increased from 12 in the original conversion matrix scheme to 28 and 128 for the two sub block and four-sub block cases, respectively.

Key Words: Orthogonal frequency division multiplication (OFDM), Peak-to-average power ratio (PAPR), Selective mapping (SLM), Inverse Fast Fourier Transformation (IFFT) operations, Time domain sub block conversion Matrices (TSCM)

1. INTRODUCTION

Orthogonal frequency division multiplexing (OFDM) is a multicarrier modulation technique with bandwidth-efficient signaling schemes for use in high data rate communication systems. This technique received a lot of attention especially in the field of wireless communication because of its robustness to the multi-path fading and it has already been adopted as the standard transmission technique in the wireless LAN systems and the terrestrial digital broadcasting systems. The WiMAX physical layer (PHY) is based on OFDM modulation. The OFDM system has a high peak-to-average power ratio (PAPR) that can cause unwanted saturation in the power amplifiers, leading to in-band distortion and out-of-band radiation. Peak-to-Average Power Ratio (PAPR) [2] is one of the main drawbacks in OFDM systems. The occurrence of PAPR will make the power amplifier to drive it to non-linearity which causes in-band & out-of-band (OBO) distortions and affects Bit Error Rate (BER) performance. Various methods for PAPR reduction in OFDM systems have been presented to avoid the occurrence of large PAPR. Partial Transmit Sequences (PTS) and Selective Mapping (SLM) [3] are the most effective schemes to reduce large PAPR. Finding the optimum phase sequence requires the exhaustive search over all combinations of the allowed phase factors and the search complexity increases exponentially with the number of sub blocks.

In this work, we propose an improved scheme, named time domain sub-block conversion matrix (TSCM) SLM, in order to increase the number of available signal candidates, thereby improving the PAPR reduction performance. Note that since the number of signal candidates is increased, more side information is also required by the proposed scheme. In the proposed scheme, we divide the frequency-domain signals into multiple sub-blocks and generate the time-domain signal corresponding to each sub-block. Then, the partial time-domain signals are multiplied by the predetermined conversion matrices individually. Afterwards, a candidate signal is obtained by combining all the resultant signals corresponding to all sub blocks. By this means, the number of valid conversion matrices is increased, and thus more candidate signals are available for PAPR reduction when compared to the MSLM scheme. Our scheme not only increases the number of candidate signals, but
also reduce the average complexity of generating a candidate signal.

1.1 What Is Peak to Average Power Ratio (PAPR)?

Peak to average power ratio is a signal property that is calculated by dividing the peak power amplitude of the waveform by the RMS value of it, a dimensionless quantity which is expressed in decibels (dB). In digital transmission when the waveform is represented as signal samples, the PAPR is defined as

$$ PAPR = \frac{\max(|S[n]|^2)}{E\{|S[n]|^2\}}, \quad 0 \leq n \leq N - 1, $$

Where $S[n]$ represents the signal samples, $\max(|S[n]|/2)$ denotes the maximum instantaneous power and $E\{|S[n]|/2\}$ is the average power of the signal, and $E\{J\}$ is the expected value operation.

1.2 Why We Need to Reduce the PAPR?

Non-linear devices such as high power amplifiers (HPA) and digital to analog converters (DAC) exist in almost all communication links and demand for data transmission over longer ranges. At the same time higher power efficiency of the amplifiers, require the amplifier to operate in a more non-linear region. In general, there is a trade off between linearity and efficiency.

In single-carrier modulation the signal amplitude is somehow deterministic, except for the pulse shaping filter effect, so the operating point in the amplifier can be determined accurately without destructive nonlinearity impairments. But in the multi-carrier systems like OFDM, the envelope of the time domain signal will change with different data symbols. Accordingly, the input power amplitude will change with a noticeable variance in specified operating point and the non-linearity effect causes distortion. Distortion acts as noise for the receiver, and also the signal constellation rotates due to phase conversion. Moreover, the out-of-band distortion of subcarriers is the result of non-linearity impairments, which causes cross talk since the subcarriers are not orthogonal any more [3]. To estimate the distortion which is caused by non-linearity, it is desired to have a measure of the signal to show its sensitivity to non-linearity. A well-known measure for the multi-carrier signals is peak to average power ratio (PAPR). The higher the PAPR, the more fluctuation in the signal amplitude, so the operating point in the amplifier needs to be set far enough from saturation point and this input back off 1 reduces the efficiency.

2. EXISTING TECHNIQUE

2.1 Selective Mapping

In the conventional SLM scheme, $M$ statistically independent phase sequences are generated, and then multiplied by the frequency-domain data sequence $X$ to produce the $M$ independent candidate signals. Through IFFT transformations, the candidate signal with the lowest PAPR is selected for transmission, as shown in Fig. 1. Let the frequency-domain candidate signal $S_0 = X$ be the original data vector and the corresponding time-domain signal be $x = X$, where $F^{-1}$ represents the matrix of IFFT.

The time-domain candidate signals are

$$ S_m = F^{-1}S_m $$

For $m = 0, \ldots, M - 1$.

In conventional SLM, the transmitter requires performing $M$ IFFT operations in order to generate the $M$ time-domain candidate signals, leading to a very high computational complexity.

2.2 Modified SLM (MSLM)

In order to solve the high complexity problem in the conventional SLM scheme, the MSLM scheme to generate candidate signals by multiplying the original time domain signal $x$ with predetermined conversion matrices. The architecture of the scheme is shown in Fig. 2.
To reduce the computational complexity, it is suggested that some constraints must be satisfied:

- The first column vector $t_m$ contains only $i$ non-zero elements, where $i = 2, 3, \text{or } 4$.
- The real and imaginary parts of each non-zero element in $t_m$ must be $+1, -1, \text{or } 0$ (ignoring the constant factor).
- All the elements of the corresponding phase rotation vector must be non-zero and have the same magnitude, in order to prevent any distortion on the frequency-domain signals [6].

By computer searching based on the constraints proposed in [6], only 12 candidate signals, i.e., $M = 12$, are available for PAPR reduction. If the constraint of the same magnitude in a phase rotation vector is relaxed, more candidate signals can be obtained; however, the bit error rate (BER) performance will be significantly degraded.

3. PROPOSED MODEL

3.1 Two Sub block TSCM – SLM

The basic concept of the TSCM-SLM scheme is to divide the frequency-domain data sequence into multiple sub-blocks by using interleaved partition. In other words, each sub-block contains the data symbols upon subcarriers with equally-spaced indices. By this means, the number of valid conversion matrices is greatly increased. For convenience of analysis and explanation, the cases with two sub-blocks and four sub-blocks are given in Fig. 3.

3.2 Four Sub block TSCM-SLM

Fig. 3 shows block diagram of four sub block TSCM-SLM. In this technique we can divide the frequency domain in to four sub block and we get the more candidate signal.

In this approach, the modified constraint to restrain the computational complexity is:

1) The first column vector $t_m$ contains only one non-zero element.
Therefore, we need only three complex vector additions for the generation of a candidate signal.

![Block diagram of Four sub block TSCM-SLM](image)

**Figure 3.** Block diagram of Four sub block TSCM-SLM

### 4. COMPUTATIONAL COMPLEXITY

<table>
<thead>
<tr>
<th>Scheme</th>
<th>Number of multiplication</th>
<th>Number of additions</th>
</tr>
</thead>
<tbody>
<tr>
<td>SLM</td>
<td>(LN/2)log2(LN)</td>
<td>(LN)log2(LN)</td>
</tr>
<tr>
<td>MSLM</td>
<td>(LN/24)log2(LN)</td>
<td>(LN/12)log2(LN)+2.75LN</td>
</tr>
<tr>
<td>Two block</td>
<td>(LN/56)log2(LN)</td>
<td>(LN/28)log2(LN)+1.57LN</td>
</tr>
<tr>
<td>Four block</td>
<td>(LN/256)log2(LN)</td>
<td>(LN/128)log2(LN)+2.98LN</td>
</tr>
</tbody>
</table>

As shown in table 1 computational complexity of different scheme with over sampling factor L. In SLM scheme generation of candidate signal requires an LN point IFFT operation.

In MSLM only one IFFT operation is require for generation of candidate signal i. e. M=12. In propose scheme, the complexity of block is shown in block diagram as dashed line there is also require LN point IFFT except final summation operation.

### 5. SIMULATION RESULT

The complementary cumulative distribution function (ccdf), defined as the probability that the PAPR value is smaller than a specific value $\Gamma$, is used to evaluate the PAPR reduction performance. In the simulations, the number of subcarriers $N$ is set to be 256, 512 or 1024, and the 16-QAM modulation scheme is adopted. The conventional SLM [3] and MSLM [6] are also evaluated for performance comparison with the proposed TSCM-SLM scheme.

Fig.4 shows the conventional SLM, Fig.5 MSLM and Fig.6 shows the result for conventional, modified and two sub blocks TSCM-SLM respectively. Fig.7 shows the performance comparison of the proposed TSCM-SLM scheme and the MSLM scheme. Since the number of available candidate signals by applying TSCM- SLM is significantly larger than that obtained by MSLM, the performance of TSCM-SLM is substantially better than that of MSLM. We can find 28 and 128 valid candidate signals for the cases with two sub-blocks and four sub blocks respectively. Applying the proposed scheme can result in not only more valid candidate signals, but also less computational complexity per candidate signal.

![Result of SLM](image)

**Figure 4.** Result of SLM
We can find 28 and 128 valid candidate signals for the cases with two sub-blocks and four sub-blocks respectively. Applying the proposed scheme can result in not only more valid candidate signals, but also less computational complexity per candidate signal.

Table 2: Computational complexity value

<table>
<thead>
<tr>
<th>Method</th>
<th>Multiplication</th>
<th>Addition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Conventional SLM</td>
<td>1024</td>
<td>2048</td>
</tr>
<tr>
<td>MSLM(M=12)</td>
<td>85</td>
<td>875</td>
</tr>
<tr>
<td>Two-block(M=28)</td>
<td>37</td>
<td>475</td>
</tr>
<tr>
<td>Four-block(M=128)</td>
<td>8</td>
<td>390</td>
</tr>
</tbody>
</table>

6. CONCLUSION

In this paper, we have proposed low-complexity conversions for LN-point IFFT computation in the SLM approach. We have utilized them to replace the IFFT blocks in the conventional SLM approach and proposed two new SLM schemes. By using proposed scheme PAPR reduced and we get less computational complexity as compare to SLM and MSLM scheme. Since the number of candidate is increased by applying, more side information is also needed as compared to MSLM. Again for the same size of side information.

It also improve the system performance by using Four sub blocks TSCM-SLM scheme. In future the number of candidate signals can increase from 128 to 256. With the more number of candidate signal, data.
will be divided into more sub blocks. For 256 candidate signal total 6 numbers of sub blocks are required but it may increases the amount of side information and the computational costs.

7. ACKNOWLEDGEMENT

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8. REFERENCES


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