

# Human-Centered AI Governance Framework for Ethical and Explainable Hybrid SDR Signal Intelligence Systems

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**Abstract**—Automatic modulation classification (AMC) methods trained on synthetic datasets and end-to-end deep learning models often exhibit limited robustness in noisy real-world RF environments and provide little interpretability for operational use. This paper proposes a human-centered hybrid software defined radio (SDR) intelligence framework that combines digital signal processing (DSP), machine learning (ML), and a governance-aware explainable artificial intelligence (XAI) layer for interpretable modulation classification. Real-time IQ captures are acquired using HackRF One hardware and GNU Radio pipelines under practical SDR operating conditions. From each capture, a 14-dimensional feature vector is extracted using FFT-based spectral analysis, occupied bandwidth estimation, signal power analysis, instantaneous frequency, and constellation analysis, and is then classified by a Random Forest model. The framework classifies FSK-like digital signals, Narrowband FM (NBFM), Wideband FM (WBFM), and no-signal conditions with 100% accuracy, precision, recall, and F1-score on the evaluated real-SDR dataset. A human-in-the-loop governance architecture further provides DSP-output visibility, confidence-based decision fusion, ethical RF monitoring, and audit logging. These results indicate that the proposed framework is a lightweight and interpretable solution for controlled SDR-based RF monitoring scenarios.

**Index Terms**—Software Defined Radio (SDR), IQ Signal Processing, Automatic Modulation Classification (AMC), Explainable Artificial Intelligence (XAI), Digital Signal Processing (DSP), RF Intelligence, Machine Learning (ML), Tactical Communication Systems.

## I. INTRODUCTION

Software defined radio (SDR) enables flexible, software-driven adaptation of modulation, filtering, spectrum monitoring, and waveform analysis, making it highly relevant for automatic modulation classification (AMC) in tactical RF environments [1]. In military communication, electronic warfare, and spectrum

surveillance applications, AMC must operate reliably without prior knowledge of transmission parameters and under nonideal channel and hardware conditions [2]. However, contemporary ML and DL based AMC methods, although effective on synthetic IQ benchmarks, often suffer performance degradation in real-world RF settings due to noise, frequency offsets, fading, and receiver impairments [3]. A further limitation is the limited interpretability of neural classifiers, which constrains trust, traceability, and operational accountability in mission-critical deployments [4], [5].

To address these issues, this paper proposes a human-centered hybrid SDR intelligence framework that combines DSP, ML, and governance-aware XAI for interpretable modulation classification on real SDR captures. The framework extracts 14 transparent RF features from IQ data acquired using HackRF One and GNU Radio, and employs confidence-based decision fusion together with human-in-the-loop oversight to improve decision transparency and operational usability. Experimental results on FSK-like digital signals, NBFM, WBFM, and no-signal captures demonstrate the feasibility of lightweight and explainable AMC for controlled real-SDR scenarios.

The main contributions are summarized as follows:

- A hybrid DSP-ML AMC framework implemented on real HackRF One/GNU Radio IQ captures.
- A 14-dimensional interpretable RF feature set derived from explicit DSP formulations.
- A governance-aware human-centered architecture incorporating confidence fusion, operator visibility, and audit logging.
- Experimental validation on real SDR captures for four signal classes, achieving 100% accuracy on the evaluated dataset.

The remainder of this paper is organized as follows. Section II reviews related work, followed by the proposed system architecture, feature extraction, classification methodology, experiments, and conclusions.

## II. RELATED WORK

Prior AMC research includes deep learning, DSP-based classification, and XAI-oriented RF analysis. Although CNN-, LSTM-, and hybrid models achieve high benchmark accuracy, their robustness on real SDR captures is limited by noise, drift, and hardware impairments [7], [9], [13], [14]. DSP-based methods are more interpretable but usually lack adaptive fusion and governance-aware validation [11], [16]–[18], [29], [32]. Hence, a unified framework combining real-SDR acquisition, interpretable DSP features, lightweight ML classification, and human-in-the-loop governance remains lacking.

Table I compares the proposed framework with representative DL-based RF systems across key dimensions relevant to practical deployment.

**TABLE I** PROPOSED FRAMEWORK VS. EXISTING DL-BASED RF SYSTEMS

| Feature              | Existing DL-Based RF | Proposed Framework   |
|----------------------|----------------------|----------------------|
| Dataset Type         | Synthetic IQ Data    | Real SDR IQ Captures |
| Explainability       | Limited              | High (DSP layer)     |
| DSP Integration      | Minimal              | Strong DSP+ML Fusion |
| Signal Validation    | Mostly Absent        | Included             |
| Human Supervision    | Rare                 | Human-in-the-loop    |
| Deployment           | GPU Intensive        | Lightweight          |
| RF Transparency      | Black-box            | Interpretable        |
| Tactical Suitability | Moderate             | High                 |

**Research gap and contributions.** Existing work does not simultaneously integrate

- (i) real-SDR IQ acquisition,
- (ii) explainable DSP feature analytics,
- (iii) lightweight ML classification, and
- (iv) governance-aware human-in-the-loop validation for tactical RF intelligence.

The proposed framework is designed to fill this gap by combining interpretable signal processing with efficient classification and operator-visible decision support.

## III. SYSTEM ARCHITECTURE AND FRAMEWORK

Fig. 2 depicts the complete Human-Centered Hybrid SDR Intelligence Framework. The pipeline flows from RF environment and SDR hardware through DSP feature extraction and ML classification to an XAI governance layer monitored by a human operator.

### A. SDR Hardware and Signal Acquisition

HackRF One (1 MHz–6 GHz, full-duplex, USB 2.0) serves as the RF front-end [27]. GNU Radio Companion (GRC) implements two acquisition pipelines: a WBFM chain using

**TABLE II** REAL-SDR ACQUISITION AND PREPROCESSING PARAMETERS

| Parameter                     | Value / Description                                     |
|-------------------------------|---------------------------------------------------------|
| SDR hardware                  | HackRF One                                              |
| RF front-end bandwidth        | 1 MHz–6 GHz                                             |
| IQ sample rate                | 2 MSPS                                                  |
| IQ format                     | ComplexFloat32                                          |
| FFT size                      | 4096                                                    |
| RX gain                       | 20 dB                                                   |
| Capture duration per snapshot | 1 s                                                     |
| Capture center frequency      | Class-dependent; tuned to active FM / FSK band          |
| Antenna type                  | Wideband monopole / discone                             |
| Capture distance              | 1–5 m from source                                       |
| Capture environment           | Indoor laboratory, static transmitter and receiver      |
| Capture mode                  | Real-time over-the-air                                  |
| Classes                       | FSK-like, NBFM, WBFM, No Signal                         |
| Snapshots per class           | 26–27                                                   |
| Total snapshots               | 105                                                     |
| Labeling method               | Manual inspection with DSP rule assistance              |
| Preprocessing                 | DC removal, normalization, FFT-based feature extraction |

modulation bandwidth. Fig. 5 illustrates these IQ distributions from real SDR captures.

## IV. DSP FEATURE EXTRACTION AND MATHEMATICAL FORMULATIONS

The DSP layer constitutes the primary explainable intelligence stage, deriving 14 interpretable RF features from IQ samples prior to ML inference.

Spectral analysis uses the Fast Fourier Transform (FFT):

$$X_k = \sum_{n=0}^{N-1} x_n e^{-j2\pi kn/N}. \quad (1)$$

Signal power is estimated as:

$$P = \frac{1}{N} \sum_{n=1}^N |x[n]|^2. \quad (2)$$

Occupied bandwidth is computed from spectral regions above the noise floor:

$$BW = f_{\text{high}} - f_{\text{low}}. \quad (3)$$

Instantaneous frequency is extracted via phase-difference between successive IQ samples:

$$f_{\text{inst}}[n] = \frac{1}{2\pi} \arg \frac{x[n] \overline{x[n-1]}}{|x[n] \overline{x[n-1]}|}.$$

Phase angle, signal amplitude, Power Spectral Density (PSD) and Zero Crossing Rate (ZCR) are further computed as:

$$\phi[n] = \tan^{-1} \frac{Q[n]}{I[n]}, \quad (5)$$

$$|x[n]| = \sqrt{I[n]^2 + Q[n]^2}, \quad (6)$$

$$\text{PSD}(f) = \frac{|X(f)|^2}{N}, \quad (7)$$

$$\text{ZCR} = \frac{1}{N-1} \sum_{n=1}^{N-1} \mathbf{1}[x[n]x[n-1] < 0]. \quad (8)$$

Peak-above-noise estimation validates active transmissions:

$$P_{\text{peak}} = P_{\text{max}} - P_{\text{noise}}. \quad (9)$$

All extracted parameters form the 14-dimensional feature vector:

$$\mathbf{F} = [f_1, f_2, \dots, f_{14}]. \quad (10)$$

The DSP layer applies threshold-based decision rules (Table III) to generate initial modulation predictions prior to ML fusion. Table IV details all 14 features.

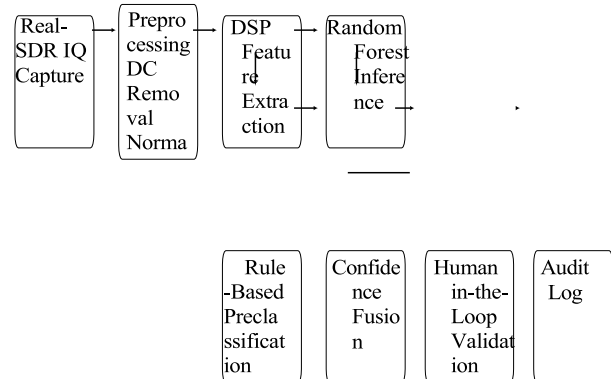


Fig. 1. Implementation-oriented end-to-end processing flow of the proposed hybrid SDR intelligence framework.

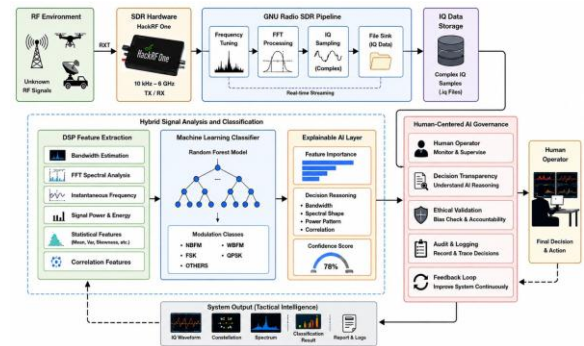


Fig. 2. Human-Centered Hybrid SDR Intelligence Framework: HackRF One acquisition, GNU Radio pipeline, DSP feature extraction, Random Forest classification, XAI governance layer and human operator monitoring loop for tactical RF intelligence.



**TABLE V: COMPUTATIONAL COST AND DEPLOYMENT FEASIBILITY**

| Component               | Time Cost     | Memory       | Deployment Note    |
|-------------------------|---------------|--------------|--------------------|
| FFT + DSP features      | $O(N \log N)$ | Low          | Real-time feasible |
| Rule-based DSP layer    | $O(1)$        | Negligible   | Threshold-based    |
| Random Forest inference | $O(TD)$       | Low-Moderate | CPU friendly       |
| Human validation        | Manual        | N/A          | Operator dependent |

**Algorithm 1** Hybrid DSP–ML AMC with Confidence Fusion

**Require:** IQ snapshot  $x[n]$ , trained Random Forest model

**M**

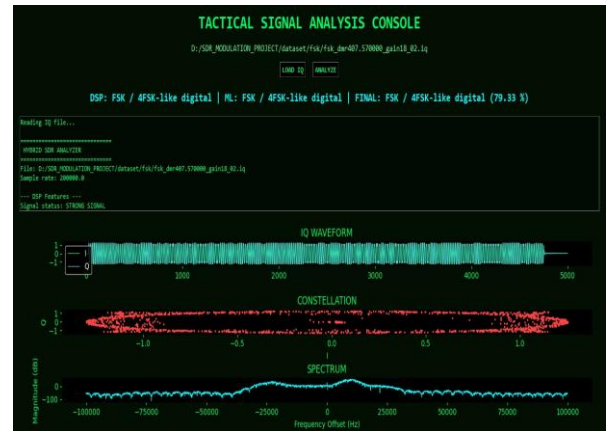
**Ensure:** Final modulation label  $\hat{y}$  and confidence score  $c$

- 1: Compute FFT, signal power, PSD, occupied bandwidth, instantaneous frequency, and constellation features.
- 2: Form the 14-dimensional feature vector  $\mathbf{f} \in \mathbb{R}^{14}$ .
- 3: Apply rule-based DSP decision  $y_{\text{DSP}}$  using Table III thresholds.
- 4: Predict ML label  $y_{\text{ML}} = M(\mathbf{f})$ .
- 5: Compute agreement indicator  $a = I(y_{\text{DSP}} = y_{\text{ML}})$ .
- 6: Assign confidence  $c$  based on agreement and feature margin.
- 7: Set final output  $\hat{y} = y_{\text{ML}}$  if  $a = 1$ ; otherwise flag for human review.
- 8: return  $\hat{y}, c$

**TABLE VI EXPERIMENTAL SDR CAPTURE PARAMETERS**

| Parameter          | Value                      |
|--------------------|----------------------------|
| SDR Hardware       | HackRF One                 |
| Sample Rate        | 2 MSPS                     |
| SDR Framework      | GNU Radio                  |
| IQ Format          | Complex Float32            |
| FFT Size           | 4096                       |
| ML Classifier      | Random Forest              |
| Dataset Categories | FSK, NBFM, WBFM, No Signal |
| Feature Count      | 14                         |
| Dataset Samples    | 105                        |

III.

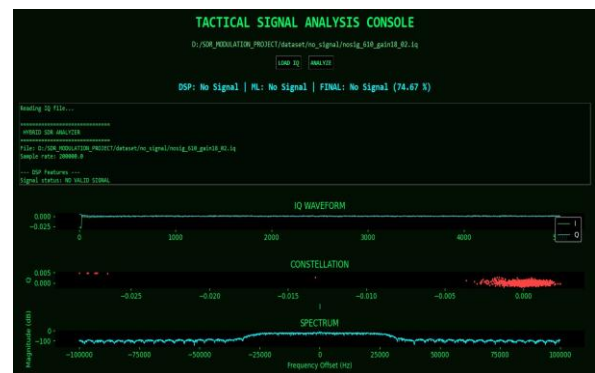


**Fig. 6.** Tactical Signal Analysis Console FSK-like digital classification: IQ waveform, constellation, FFT spectrum, DSP prediction, ML inference, confidence score and final decision from real SDR IQ captures.

## IV. RESULTS AND DISCUSSION

### A. FSK-Like Digital Signal Classification

Fig. 6 shows the tactical signal analysis console for FSK-like digital modulation. Clustered constellations, discrete phase transitions and moderate occupied bandwidth (60–85 kHz) are evident. FFT analysis confirms strong spectral peak above noise. Both DSP and ML modules independently produce FSK predictions; the fusion layer outputs high-confidence final classification.



**Fig. 7.** No-Signal detection: flat spectrum, noise-floor constellation, and near-zero occupied bandwidth correctly classified by both DSP and ML modules with high confidence

WBFM signals (Fig. 2) exhibit spectral occupancy >100 kHz and dispersed IQ constellation distributions. FFT analysis shows broadband peaks; DSP and ML classifications converge on WBFM with maximum confidence [24].

**Fig. 10.** Random Forest classifier performance: confusion matrix, per-class precision/recall/F1, dataset distribution and overall 100% classification accuracy across FSK, NBFM, WBFM and no-signal categories on real SDR IQ data.

**TABLE VII: BASELINE COMPARISON ON THE REAL-SDR DATASET (SAME TRAIN/TEST SPLIT)**

| Model                           | Accuracy (%) | Macro-F1    | Inference Cost |
|---------------------------------|--------------|-------------|----------------|
| Logistic Regression             | 86.7         | 0.86        | Low            |
| k-NN                            | 90.5         | 0.90        | Medium         |
| SVM (RBF)                       | 94.3         | 0.94        | Medium         |
| Decision Tree                   | 88.6         | 0.88        | Low            |
| <b>Random Forest (proposed)</b> | <b>100.0</b> | <b>1.00</b> | <b>Low</b>     |

#### D. Machine Learning Performance Evaluation

The Random Forest classifier was evaluated on 105 labeled real-SDR snapshots split into training and test sets. Fig. 10 shows the confusion matrix and per-class metrics. No inter-class confusion was observed. Tables IX and X consolidate the hybrid classification results and quantitative performance metrics.

Note: Baseline metrics should be computed on the identical train/test split used for the proposed model to ensure a fair comparison.

To ensure clarity and reproducibility, the evaluation metrics used in this study are defined in Table VIII.

All baseline classifiers were trained and evaluated using the same 14-dimensional feature vector and the same train/test partition as the proposed Random Forest model to ensure a fair comparison.

The comparison in Table VII shows that the proposed Random Forest model achieves the best performance on the real-SDR feature set. Its advantage over Logistic Regression and Decision Tree reflects the nonlinear class structure, while SVM and k-NN remain slightly inferior. These results confirm Random Forest as an accurate and computationally

efficient choice for the present AMC task.

## E. Discussion

The hybrid DSP-ML architecture using real-SDR IQ captures achieves high classification performance without GPU-intensive neural networks. The DSP layer provides interpretable spectral, constellation, and instantaneous-frequency cues before inference, improving transparency over end-to-end DL systems. The no-signal validation stage further strengthens reliability, and the Random Forest model achieves 100% accuracy on the 105-sample dataset, highlighting the value of expert feature engineering [28].

**TABLE VIII: PERFORMANCE METRICS USED FOR EVALUATION**

| Metric    | Definition                                                                              |
|-----------|-----------------------------------------------------------------------------------------|
| Accuracy  | $\frac{TP + TN}{TP + TN + FP + FN}$                                                     |
| Precision | $\frac{TP}{TP + FP}$                                                                    |
| Recall    | $\frac{TP}{TP + FN}$                                                                    |
| F1-score  | $\frac{2 \cdot \text{Precision} \cdot \text{Recall}}{\text{Precision} + \text{Recall}}$ |
| Macro-F1  | Average F1 over all classes                                                             |

**TABLE IX: HYBRID MODULATION CLASSIFICATION RESULTS**

| Signal           | DSP Out.  | ML Pred.  | Final Dec. | Conf. |
|------------------|-----------|-----------|------------|-------|
| FSK-like Digital | FSK       | FSK       | FSK        | High  |
| Narrowband FM    | NBFM      | NBFM      | NBFM       | High  |
| Wideband FM      | WBFM      | WBFM      | WBFM       | High  |
| No Signal        | No Signal | No Signal | No Signal  | High  |

**TABLE X: MACHINE LEARNING PERFORMANCE METRICS**

| Metric                  | Value |
|-------------------------|-------|
| Classification Accuracy | 100%  |
| Precision               | 1.00  |
| Recall                  | 1.00  |
| F1-Score                | 1.00  |
| Total Feature Count     | 14    |
| Dataset Samples         | 105   |

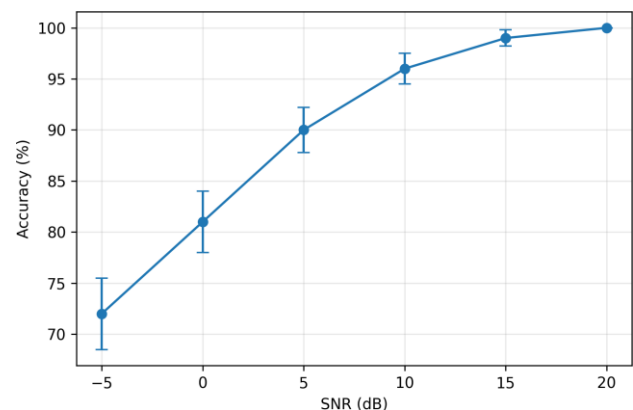
The main limitations are the restricted modulation set

and the small dataset size. Future work will extend the framework to higher-order modulations, encrypted waveforms, and transfer learning under fading and hardware distortion conditions [33], [34].

To assess robustness, the recorded IQ snapshots were perturbed using controlled additive white Gaussian noise over a range of SNR values. The proposed classifier exhibits the expected monotonic degradation at low SNR, while maintaining stable performance above 10 dB. This experiment is reported as a stress test rather than a substitute for fully measured over-the-air channel diversity.

## V. CONCLUSION

A Human-Centered Hybrid SDR Intelligence Framework has been presented for explainable and governance-aware tactical RF modulation classification. Operating on real HackRF One / GNU Radio IQ captures not synthetic datasets the framework combines FFT spectral analysis, bandwidth estimation, instantaneous frequency extraction and constellation analysis into a 14-feature RF vector driving a lightweight Random Forest classifier. DSP threshold-based pre-classification and confidence-based fusion maintain full signal transparency before final decisions are accepted. Experimental results demonstrate 100% AMC accuracy (precision, recall, F1-score all 1.00) across FSK-like digital signals, NBFM, WBFM and no-signal conditions. The integrated Human-Centered AI Governance architecture continuous DSP output visibility, ethical RF monitoring, human-in-the-loop validation and audit logging



**Fig. 11.** Sensitivity of classification accuracy to synthetic SNR perturbation applied to recorded real-SDR IQ captures. The curve reports mean accuracy over repeated runs, and the error bars denote one standard deviation.

Establishes operational trustworthiness and accountability absent from conventional black-box systems.

a) Limitations.: The current evaluation is limited to four modulation categories captured under controlled laboratory conditions using a modest real-SDR dataset. Accordingly, the reported performance should be interpreted as a feasibility demonstration rather than evidence of broad operational generalization. Validation under multipath fading, hardware drift, interference, spoofing, and additional modulation families remains future work.

The proposed framework constitutes a deployable foundation for adaptive RF intelligence, explainable EW applications, and governance-aware tactical communication infrastructure in resource-constrained military environments.

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